# **Research Division:**

**New Faculty Orientation 2024** 

Research Development

NU-Research Enterprise Services

Center for Research Innovation

August 21, 2024

Kim Holloway, Ph.D. Vice Provost for Research Development

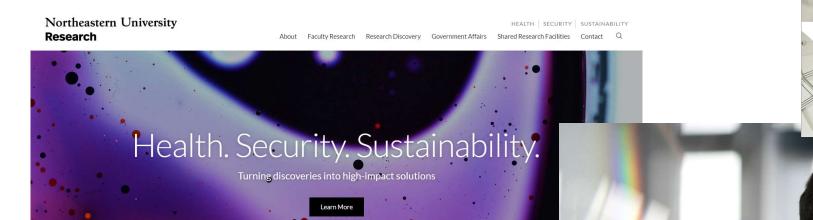
Amanda Humphrey, Chief Research Operations Officer, NU-RES

Jennifer Boyle-Lynch, Executive Director, CRI





### **Research Division**



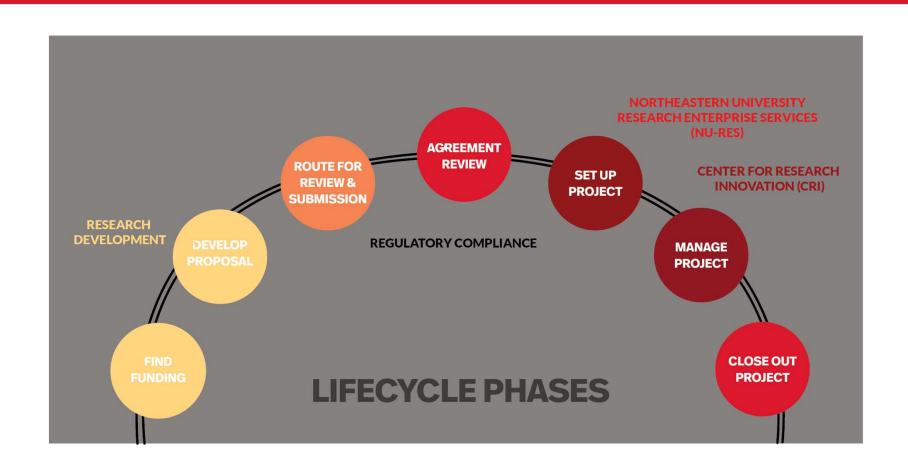
- Northeastern increased research awards from ~\$30M in 2001 to ~\$280M in 2023 (24% increase in sponsored research awards in FY22 and a further 19% increase in FY23)
- Commensurate increase in research enterprise support, particularly in the past 3 years
- Focus on research excellence and strategic research initiatives and research institutes in thematic areas

**David Luzzi, Ph.D.**Senior Vice Provost for Research at Northeastern

A Guide to Northeaste University



# Our Role in the Research Lifecycle



### Research Development vs NU-RES vs CRI



Research Development	Research Enterprise Services (NU-RES)	Center for Research Innovation (CRI)
<ul> <li>Strategic and tactical support for faculty at all career stages</li> <li>Manage internal funding programs</li> <li>Faculty professional development related to research</li> <li>Support collaborative, high impact proposals</li> <li>Identify and disseminate funding opportunities</li> <li>Manage limited submissions</li> </ul>	<ul> <li>Institutional review/submission and management of externally funded grants and contracts</li> <li>Non-funded research-related contracts</li> <li>Research finance</li> <li>Award set-up and management questions</li> <li>Research-related compliance and regulatory requirements</li> <li>Faculty &amp; Staff training</li> </ul>	<ul> <li>Invention protection (Patent &amp; Copyright)</li> <li>Commercialization through licensing</li> <li>Venture development programs</li> <li>Research collaboration support</li> <li>IP policy/Conflicts of interest/Compliance</li> </ul>
resdev@northeastern.edu	nu-res@northeastern.edu or researchcompliance@northeastern.edu	cri@northeastern.edu
https://resdev.northeastern.edu/	https://research.northeastern.edu/nu-res/	https://cri.northeastern.edu/

### What is Research Development?



"Research-development professionals occupy a unique and valuable role in the academic enterprise. We journey through the campus, traversing it daily in small exploratory ventures or large expeditions, helping people formulate and finance their research and doing what we can to make things a success."

Jacob Levin Chronicle of Higher Ed.



https://resdev.northeastern.edu/about/

### **Research Development: Our Team**



#### **Research Development (Central team)**



Kim Holloway, Ph.D.



Erin Hale (starts Sept.) Colleges, Large Programs



Trish Curtin KRI, large programs, partnerships



Zac Perry, Ph.D. Institutes, Large Programs



Jenna Horan Internal Programs



Garrett Morrow, Ph.D. Limited Submissions



Daniel Mueller DOD/Events

# **Research Development: Our Team**



#### **College RD representatives**

#### College of Arts, Media & Design



Katherine Calzada

**College of Science** 

Vance Blankers, Ph.D.



Liz Allen, Ph.D.





Andrea Stith, Ph.D.

**College of Engineering** 



Mariah Nobrega



Jessica Chace, Ph.D. Helen Fawcett, Ph.D.

#### **Bouvé College of Health Sciences/Charlotte**



Melinda Boehm, Ph.D.



Hilary Prosnitz

# College of Social Sciences & Humanities



Kate Duggan



### **Overview of Research Development Activities**



Funding Opportunities

Researcher Positioning & Teaming

Early Career Faculty
Programming

**Limited Submissions** 

Center-scale programs

**Proposal Development** 



### **Early Career Support**

- Curation and targeted dissemination of early career opportunities
- New faculty meetings to discuss research goals and introduce RD,
   Advancement and other potential resources/collaborators
- Runway to Broader Impacts facilitating community research partnerships
- NSF CAREER Awards: Training sessions and coordinated review process for submissions
- NIH K Awards: Establishing K-Club for eligible faculty



# Early Career Faculty Programming

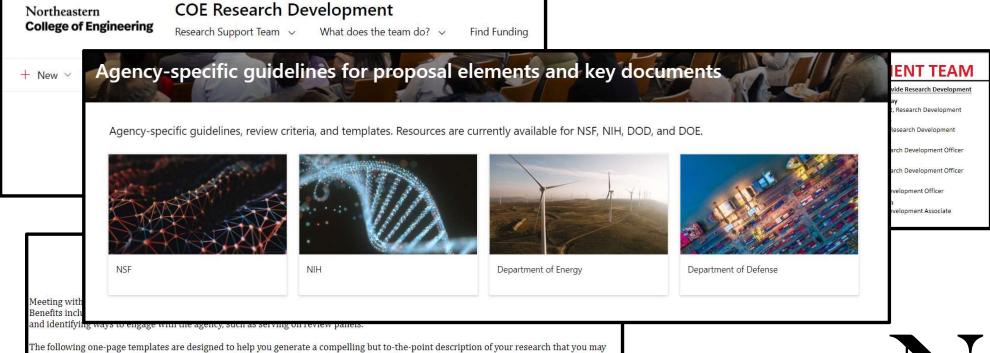
### **Early Career Faculty Resources**

hand to Program Officers during a meeting. Several different template styles are included (two each for NIH, NSF, DOD projects – see slide section

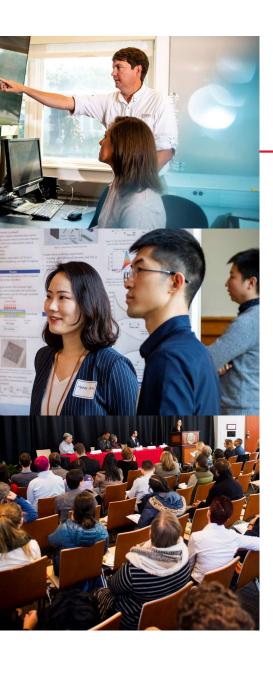
Please note that these are only guidelines; elements can be swapped among templates or added and removed as you see fit. For readability,

headers – as well as two "miscellaneous" templates).

the font size should be 26pt at minimum.



LVX VERITAS VIRTVS



### **Finding External Funding**



- 1. We identify and curate funding opportunities and share via targeted emails to researchers
- 2. Pivot Database of funding opportunities <a href="mailto:pivot.proquest.com/funding">pivot.proquest.com/funding</a>
- 3. Internal database of Limited Submissions: <u>Funding</u>
  <u>Announcements Archive Research Development at</u>
  <u>Northeastern University</u>
- 4. Additional Resources include Foundation Directory Online & Philanthropy News Digest email alerts



### Internal funding: TIER 1

Transforming Interdisciplinary Experiential Research

- Provides funds to support interdisciplinary teams to secure proof of concept, with a goal of successfully competing for future external sponsored research opportunities
- Multiple fifteen-month grants of up to \$50,000 are awarded each year
- Proposals must address NABC Review Criteria: Need, Approach, Benefits/Costs, Competition
- Opportunity to build collaborations across campuses and across disciplines

Researcher Positioning & Teaming

Funding Opportunities



### Internal funding: Impact Engines

A new model for 21st century problem solving

Funding Opportunities

Researcher
Positioning
& Teaming

- Key pillar of Northeastern's Academic Plan
- Positions Northeastern as a leader in research with broad societal impact
- Fosters interdisciplinary learning, research, and partnerships to solve challenges with the goal of maximum impact
- Spans and connects diverse disciplines, colleges, campuses, industry sectors, and communities around problem solving
- Website has more info: <u>https://impactengines.northeastern.edu/</u>



### We Support Proposal Development



#### Resource Repository for Proposal Development

Access materials and information to craft successful proposals

The Research Development Resource Repository in SharePoint contains detailed descriptions of university resources, facilities and institutes.

Northeastern researchers may copy and paste information from these documents into proposals as appropriate. Additionally, the Resource Repository has funding agency-specific guidelines, proposal development guides, and training materials.



#### Agency Guidelines

Agency-specific guidelines and review criteria. Resources focusing on finding funding opportunities and developing proposals for NSF, NIH, DOD, and DOE are currently available.



**Proposal Guides** 

Guidance about funding opportunities for early career researchers, center proposals, equipment grants, and training grants. {coming soon}



**Shared Facilities** 

Detailed descriptions of core shared research faculties, including documentation of unique equipment and research capabilities.



#### Research Institutes

Information about major research institutes across Northeastern's global campuses to be used in research proposals.



Proposal Development Resources on our website: https://resdev.northeastern.edu/

### **Overview of Research Development Activities**



Funding Opportunities

Researcher Positioning
& Teaming

Academic Analytics

Early Career Faculty
Programming

**Limited Submissions** 

Center-scale programs

**Proposal Development** 

### **Research Enterprise Services (NU-RES)**

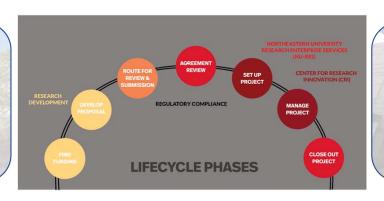


#### **Research Administration**

- Proposal Review & Submission
- Award Acceptance & Management
- Contracts & Agreements

**NU-RES Hub** 

**eRA Systems** 



#### **Research Compliance**

- Research Integrity
- Financial Conflicts of Interest
- Export Controls
- Responsible Conduct of Research
- International Engagements
- Animal Use & Care
- Biosafety
- Human Research Subjects

#### **Research Finance**

- Grants & Contracts Account Setup
- Financial Management & Reporting
- Invoicing & LOC Draws
- AR & Cash Management

**Banner Financial Systems** 





- electronic Conflict Disclosures: (eCD)
  - Annual and ongoing, off-cycle disclosures
  - (MUST COMPLETE BEFORE ANY AWARDS CAN BE SET UP)
- electronic Proposal and Award Workflow system: ePAWs v. 1.0
  - Award transfers!
- electronic Contract and Legal Agreement Workflow system: eCLAWs



#### **Award Transfers?**

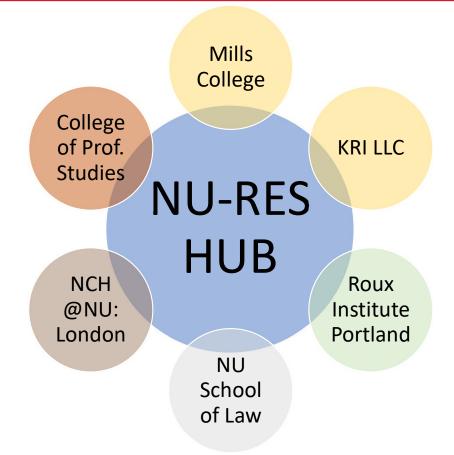


- Any incoming faculty with <u>existing sponsored awards</u> need to transfer them to NU as the institution overseeing the award
- If you have not already done so, please contact your departmental research administration team for help with this process
- NU-RES are also here as a resource to assist
- Expectations are that this is the most complex of award processes and takes time and a little patience to get through....

### **NU-RES Hub: Overview**



- Provide direct assistance to faculty and research investigators; cross-function support to Hub and NU-RES team members; and work closely with all units reporting to the Senior Vice Provost for Research.
- nu-res hub@northeastern.edu



# **Responsible Conduct of Research**



Please join us by registering here	Authorship & publication
	Peer review
	Mentorship
	Grant stewardship
Participation required for many grant programs	Data acquisition, storage & management
	Research misconduct & conflicts of interest
	Global research
	Finance for faculty
	Creating safe & inclusive workplaces

### **Research Security**



- Federal government released research security requirements on 7/9/24
  - o Government-wide requirements & also each agency will be responsible for implementing its own program
  - o Much of the activity to date has been around disclosures, including participation in foreign talent programs
  - Northeastern has a policy to address this: Policy on External International Engagements

#### Cybersecurity

NIST was directed to create standards, which have not yet been developed

#### Foreign Travel Security

- Northeastern policy <u>requires registration of all professional travel</u>
- High risk destinations also require completion of an <u>acknowledgement of risk</u>, and <u>required loaner devices during</u> <u>travel</u>

#### Research Security Training

- Available through Workday
- Personalized briefings also available

#### Export Control Training

- Available through CITI
- o Personalized training also available



#### **Faculty Orientation 2024**

#### You will be partnering with the CRI on:

- Invention protection (Patent & Copyright)
- Commercialization through licensing
- Venture development programs
- Research collaboration support
- IP policy/Conflicts of interest/Compliance

# All new research faculty must disclose to the CRI any prior:

- Inventions
- Software
- Agreements related to ongoing research

If you are transferring from another institution, please complete our intake form: <a href="mailto:bit.ly/newresearcherform">bit.ly/newresearcherform</a>



Scan the code for the CRI website



https://northeastern.instructure.com/enroll/8FDRNP



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Commercialization
Life Sciences
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Venture
Talent Network
Katie Hemphill
k.hemphill@northeastern.edu
617-373-7822

### **Collaborators & Partners**



- Corporate and Foundation Advancement
   <u>University Advancement | Northeastern University</u>

   Rob Silk, Senior Associate Vice President of Development, <a href="r.silk@northeastern.edu">r.silk@northeastern.edu</a>
- ADVANCE Office of Faculty Development <a href="https://faculty.northeastern.edu/advance/">https://faculty.northeastern.edu/advance/</a>
- Undergraduate Research & Fellowships (Support for undergraduate research)
   Home | Undergraduate Research & Fellowships at Northeastern University
- PhD Network (Shares graduate and postdoc funding opportunities)
   Overview | PhD Graduate Education at Northeastern University
- Public Evaluation Lab (NU-PEL) (Evaluation for research grants)
   Home Northeastern University Public Evaluation Lab

When in doubt, reach out! Any of us can point you in the right direction!

#### **Questions? Suggestions? New research ideas?**

#### Get in touch with us!



Research at Northeastern website: <a href="https://research.northeastern.edu/">https://research.northeastern.edu/</a>

#### **Research Development**

General Email: resdev@northeastern.edu

Limited Submissions email: <a href="mailto:limitedsubmissions@northeastern.edu">limitedsubmissions@northeastern.edu</a>

Website: <a href="https://resdev.northeastern.edu/">https://resdev.northeastern.edu/</a>

#### **NU-RES**

General email: Nu-res@northeastern.edu

Research compliance email: <a href="mailto:researchcompliance@northeastern.edu">researchcompliance@northeastern.edu</a>

Website: https://research.northeastern.edu/nu-res/

#### **Center for Research Innovation (CRI)**

General email: <a href="mailto:cri@northeastern.edu">cri@northeastern.edu</a> Website: <a href="https://cri.northeastern.edu/">https://cri.northeastern.edu/</a>